No.



200200138

## THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Spngenta Seeds, Inc.

THE COURSE, THERE HAS BEEN PRESENTED TO THE

### Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITIORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN DUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY EXCITON ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'S18-N5'

In Destinant Marrest, I have hereunto set my hand and caused the seal of the Plant Anriety Arrection Office to be affixed at the City of Washington, D.C. this nineteenth day of July, in the year two thousand two.

Oa m. Jakne

Commissioner Plant Variety Protection Office Agricultural Marketina Service Agriculture

#### INSTRUCTIONS

## 200200138

GENERAL: To be effectively filed with the Plant Variety Protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed application form signed by the owner; (2) completed exhibits A, B, C, E; (3) for a seed reproduced variety at least 2,500 viable untreated seeds for a hybrid variety at least 2,500 untreated seeds of each line necessary to reproduce the variety, or for tuber reproduced varieties verification that a viable (in the sense that it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository: (4) check drawn on a U.S. bank for \$2,450 (\$300 filling fee and \$2,150 examination fee), payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice.) Partial applications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfiled. Mail application and other requirements to Plant Variet Protection Office, AMS, USDA, Room 500, NAL Building, 10301 Baldimore Avenue, Beltsville. MD 20705-2351. Retain one copy for your files. All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initiated and dated. DO NOT use masking materials to make corrections. If a certificate will be issued to owner, not licensee or agent.

Plant Variety Protection Office Telephone: (301) 504-5518 FAX: (301) 504-5291

Homepage: http://www.ams.usda.gov/sclence/pvp.htm

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18a, Give:

- (1) the genealogy, including public and commercial varieties, lines, or dones used, and the breeding method;
- (2) the details of subsequent stages of selection and multiplication:
- (3) evidence of uniformity and stability; and
- (4) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified
- 18b. Give a summary of the variety's distinctness. Clearly state how this application variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
  - (1) identify these varieties and state all differences objectively:
  - (Z) attach statistical data for characters expressed numerically and demonstrate that these are dear differences, and
  - (3) submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant compansons which deany indicate distinctions
- 18c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 18d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- (82, Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
- 19. If "Yes" is specified (seed of this variety be sold by variety name only, as a class of certified seed), the applicant MAY NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice. (See Regulations and Rules of Fractice. Section 97.103).
- 22. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
- 23. See Section 5.5 of the Act for instructions on claiming the benefit of an earlier filing date.
- 22. CONTINUED FROM FRONT (Please provide the date of first cale, disposition, transfer, or use for each country and the circumstances, if the variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or other countries.)

23. CONTINUED FROM FRONT (Please give the country, date of filling or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right (Plant Breeder's Right or Patent).)

U-S Patent Application 09/874,639, June 5,2001

NOTES: It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. There is no change for filling a change of address. The fee for filling a change of ownership or assignment or any modification of owner's name is specified in Section 97.175 of the regulations; (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

To avoid conflict with other variety names in use, the applicant must check the variety names proposed by contacting: Seed Branch, AMS, USDA, Room 213, Building 306, Beltsville Agricultural Research Center-East, Beltsville, MO 20705. Telephone; (301) 504-8089.

Print reporting barden for this collection of information is estimated to encounter per sequence, including the later for reviewing instructions, executing cital statement, patterning and entering the collection of information, and construction of information, including the patterning that mention, and construction of information, including the patterning that mention, and construction of information, including the patterning that mention, and of the collection of information in proceeding this statement of highestone. Common Character (Aller 1950), here is a Very though the process of the patterning that we would collect the patterning that when the patterning control in the patterning that we will collect the patterning that will be patterning to the patterning that will be patterning to the patterning that will be controlled to the patterning that will be controlled to the patterning that will be patterning to the patterning that will be controlled to the patterning that the patterning that will be controlled to the patterning that the

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#### **EXHIBIT A**

### Origin and Breeding History of S18-N5

In the summer of 1993 the Syngenta (formerly Novartis Seeds, Inc. or Northrup King Co.) breeding group at Washington, IA, made the cross 'A3431' x 'S18-11' from which the variety S18-N5 was derived. Cultivar A3431 is a commercial variety marketed by Asgrow Seed Company. S18-11 is a cultivar marketed by Syngenta Seeds.

The F1 and F2 generations were grown at the Syngenta Seeds, Inc., Research Center near Kekaha, Kauai, HI, in the winter of 1993-94. The F3 generation was grown at Washington in the summer of 1994; the F4 and F5 in Kekaha in the winter of 1994-95, and the F6 at the Syngenta Seeds Research Center at St. Joseph, IL, in the summer of 1995. The F2 through F5 generations were advanced using a modified system of single seed descent. Single F6 plants were harvested in the fall of 1995 and threshed individually. The progeny from these plants were grown in progeny rows at St. Joseph in the summer of 1996. One of these, designated J604217, was chosen for testing in preliminary yield trials in 1997. It was selected based on yield and resistance to soybean cyst nematode (Heterodera glycines) for further testing. J604217 was tested in extensive replicated trials in the central United States from 1998 through 2001 and found to perform well compared to other late Maturity Group 1 varieties. It was tested in the greenhouse at the Syngenta Seeds, Inc. Research Center at Bay, AR, for resistance to Phytophthora sojae and found to have no major genes for resistance. It was also tested in the greenhouse at Bay for resistance to Races 3 and 14 of Soybean Cyst Nematode and found to be resistant to Race 3 and moderately resistant to Race 14. J604217 was also found to have purple flowers, gray pubescence, tan pod walls, and seed with yellow seed coats and imperfect black hila (may contain up to 2% other hilum color). Like all imperfect black varieties, hilum color may vary in response to environmental conditions, and sometimes differentiation from buff hilum color is difficult. In 2001 it was tested under the experimental designation X118, and based on its yield performance and pest resistance, it was released as S18-N5.

During the winter of 1998-99, 300 seeds of S18-N5 were rogued for hilum color and planted in Kekaha. This increase was rogued for flower, pubescence, and pod color, and individual plants were harvested. The seed from each plant was planted as progeny rows at Washington in the summer of 1999. The increase was rogued carefully at flowering and maturity, and any off-type rows or plants removed. Remaining rows were harvested, checked for hilum color, and rows with imperfect black hilum bulked to produce PreBreeder Seed. This increase was then planted to produce Breeder Seed in the summer of 2000. This increase was used to produce Foundation seed of S18-N5 in the summer of 2001. The increase was monitored carefully and found to meet Syngenta Seeds, Inc. standards for Foundation Seed.

S18-N5 is uniform and stable within a purity level of 99% (98% for hilum color). During the four years of testing and three years of seed increase, we have observed no variants. Any off-type plants removed from increase fields were assumed to have arisen from admixture or out-crossing. Varietal purity will be maintained using progeny rows as needed for the life of the variety.

#### **EXHIBIT B**

### Statement of Distinctness for the Variety S18-N5

Soybean variety S18-N5 is most like the parent variety, S18-11, and Pioneer brand variety, 9234. It can be differentiated from S18-11 and 9234 on the basis of pubescence and hilum color. S18-N5 has gray pubescence and seeds with imperfect black hila; S18-11 has tawny pubescence and seeds with black hila; 9234 has tawny pubescence and seeds with brown hila.

REPRODUCE LOCALLY. Include form number and date on all reproductions.

Form Approved - OMB Ne. 0581-0055

According to the Paperwork Raduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this collection of information is (0581-0055). The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

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> U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY PLANT VARIETY PROTECTION OFFICE BELTSVILLE, MD 20705

EXHIBIT C (Soybean)

OBJECTIVE DESCRIPTION OF VARIETY SOYBEAN (Glycine max (L.) Merr.)

NAME OF APPLICANT(S)	FOR OFFICIAL USE ONLY
Syngenta Seeds, Inc.	200200158
ADDRESS (Street and No. or R.F.D. No., City, State, and ZIP Code)	Polarica & Communication
510 N. 12th Ave	VARIETY NAME
P.O. Box 949	\$18-N5
Washington, Iowa 52353	
	TEMPORARY OR EXPERIMENTAL DESIGNATION
	J604217
PLEASE READ ALL INSTRUCTIONS CAREFULLY: Place the appropriate number that describes the variebelow.  Place a zero in the first box (e.g. 9 9 9 9 or 0 9 ) when number is either 99 or less or quantitative  plant characters should be based on a minimum of 100 plants. Comparative data should be determined from Horticultural Society or any recognized color standard may be used to determine plant colors; designate syste Please answer all questions for your variety; lack of response may delay progress of your application.  A. MORPHOLOGY	9 or less respectively. Data for varieties entered in the same trial. Royal
Seed Shape:	
seed Shape.	
1 = Spherical 2 = Spherical-Flattened (L/W, L/T, and T/W ratios < 1.2) (L/W ratio > 1.2; L/T ratio <	( 1.2)
3 = Elongate (L/T ratio > 1.2; T/W ratio < 1.2) 4 = Elongate-Flattened (L/T ratio > 1.2; T/W ratio >	1.2)
Seed Coat Color:	
1 = Yellow 2 = Green 3 = Brown 4 = Black	5 = Other (Please Specify)
Seed Coat Luster:	
1 = Dull 2 = Shiny Intermediate	
leed Size:	
1 9 grams/100 seeds	
filum Color:	
1 = Buff 2 = Yellow 3 = Brown 4 = Gray 5 6 = Black 7 = Other (Please Specify)	5 = Imperfect Black

Cotyledon Color:

1 1 = Yellow 2 = Green

Seed Protein Peroxidase Activity:

200200138

 $\boxed{2} \quad 1 = Low \qquad 2 = High$ 

Hypocotyl Color:

1 = Green 2 = Green with Bronze 3 = Light Purple 4 = Dark Purple extending to below Cotyledons ('Evans' or 'Davis') Bands below Cotyledons below Cotyledons unifoliolate leaves ('Hodgson', ('Woodworth' or 'Tracy') ('Beeson' or 'Pickett 71') 'Coker', or 'Hampton 266A')

Leaflet Shape:

3 1 = Lanceolate 2 = Oval 3 = Ovate 4 = Other (Please Specify)

Flower Color:

2 1 = White 2 = Purple 3 = White with a Purple Throat

Pod Color:

Pubescence Color:

1 = Gray 2 = Brown (Tawny) 3 = Light Tawny

Plant Habit:

3 1 = Determinate 2 = Semi - Determinate 3 = Indeterminate 4 = Intermediate

Maturity Group:

Maturity Subgroup:

Bacterial

Please enter a value from 0 - 9

B. DISEASE REACTIONS

0 = Not Tested

1 = Susceptible

2 = Resistant 3 = Tolerant

Bacterial Pustule (Xanthomonas campestris pv. glycines (Nakano) Dye)

Bacterial Blight (Pseudomonas syringae pv. glycinea (Coerper) Young, Dye, & Wilkie)

Wildfire Blight (Pseudomonas syringae pv. tabaci (Wolf & Foster) Young, Dye, & Wilkie)

	/02 MON 20:48 FAX 301 504 5291 USDA AMS PVPO  ISEASE REACTIONS (Continued) 0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant	3
Fung		
1	Brown Spot (Septoria glycines Hemmi)	
	Frogeye Leaf Spot (Cercospora sojina Hara)	
0	race 1 0 race 2 0 race 3 0 race 4	
0	race 5 Other (Please Specify)	
0	Target Spot (Corynespora cassiicola (Berk. & Curt.) Wei)	
0	Downey Mildew (Peronospora trifoliorum var. manchurica (Naum.) Syd. ex Gäum)	
0	Powdery Mildew (Microsphaera diffusa Cke. & Pk.)	
3	Brown Stem Rot (Phialophora gregata (Allington & Chamberlain) W. Gams.)	
0	Stem Canker (Diaporthe phaseolorum (Cke. & Ell.) Sacc. var. caulivora Athow & Caldwell)	
1	Pod and Stem Blight (Diaporthe phaseolorum (Cke. & Ell.) Sacc. var. sojae (Lehman) Wehm.)	
	Purple Seed Stain (Cercospora kikuchii (T. Matsu. & Tomoyasu) Gardener)	
	Rhizoctonia Root Rot (Rhizoctonia solani Kühn)	1.
Phytop	nthora Root Rot (Phytophthora megasperma Drechs. f. sp. glycinea (Kuan & Erwin))	
1	race 1 race 8 race 15 race 22	
	race 2 race 9 race 16 race 23	
1	race 3 race 10 race 17 race 24	
1	race 4 race 11 race 18 race 25	
	race 5 race 12 race 19 race 26	
	race 6 race 13 race 20 Other (Please Specify)	
	race 7 race 14 race 21	
0	Bud Blight (Tobacco Ringspot Virus)	
0	Yellow Mosaic (Bean Yellow Mosaic Virus)	
	$\boldsymbol{\mu}$	

03/11 <b>B. DI</b>	/02 MON 20:49 FAX 301 504 5291 USDA AMS PVPO  (SEASE REACTIONS (Continued) 0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant
0	Cowpea Mosaic (Cowpea Chlorotic Virus)
0	Pod Mottle (Bean Pod Mottle Virus)
0	Seed Mottle (Soybean Mosaic Virus)
Nemate	ode
Soybea	m Cyst Nematode (Heterodera glycines Ichinohe)
	race 1 race 9
	race 2 race 5 72 race 14
2	race 3 Other (Please Specify)
0	Lance Nematode (Hoplolaimus columbus Sher)
0	Southern Root Knot Nematode (Meloidogyne incognita (Kofoid & White) Chitwood)
0	Northern Root Knot Nematode (Meloidogyne hapla Chitwood)
0	Peanut Root Knot Nematode (Meloidogyne arenaria (Neal) Chitwood)
0	Reniform Nematode (Rotylenchus reniformus Linwood & Olivera)
0	Javanese Nematode (Meloidogyne javanica (Treub) Chitwood)
0	Other Nematode (Please Specify)
C. PH	YSIOLOGICAL RESPONSES 0 = Not Tested 1 = Susceptible 2 = Resistant 3 = Tolerant
	Iron Chlorosis on Calcareous Soil Intermediate
0	Phosphorus Other (Please Specify)
0	Boron
0	Aluminum
0	Salt
0	Drought

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D. IN	SECT REACTIONS 0 = Not Tested	1 = Susceptible	2 = Resistant	3 = Toler	ant
0	Mexican Bean Beetle (Epilachna varivestis Mulsant)				
0	Potato Leaf Hopper (Empoasca fabae (Harris))				
	Other (Please Specify)				
E. HI	ERBICIDE REACTIONS 0 = Not Tested	1 = Susceptible	2 = Resistant		
2	Metribuzin				
0	Bentazone				
1	Sulfonylurea				
	Glyphosate				
1	Glufosinate				
0	Pendimethalin				
	Other (Please Specify) Sulfentrazone				
F. TR	ANSGENIC COMPOSITION				
or, the	development of the subject variety included the insertion removal of genetic material from the application variety? blease complete the following information requests*. Use			other than YES X	a soybean, NO
1. Plea	ase state the vector's name:				
2. Plea	ase state the vector components:				
3. Pie	se describe the genetic material successfully transferred	into the subject varie	ty:		
4. Plea	se describe the insertion protocol:				
	terature citation(s) explaining the four information reque "Transgenic Composition" portion of this form.	ests above may be an	acceptable altern	ative to com	pletion of
G. BIC	CHEMICAL MARKERS				
(e.g. Sir	escribe any biochemical information here, which you bel nple Sequence Repeats, Restriction Fragment Length Pol necessary.	ieve will be helpful in ymorphisms, Isozymi	further describb ic Characterization	ng the subje on). Use add	ct variety litional

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H. COMMENTS

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REPRODUCE LOCALLY. Include form number and date on all reproductions.	FORM APPROVED - OMB NO. 0581-0055 EXPIRES: 12-31-9			
U.A. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE	The following statements are me	de in accordance with the Privacy Act operwork Reduction Act (PRA) of 1995		
SCIENCE AND TECHNOLOGY DIVISION - PLANT VARIETY PROTECTION OFFICE	- 11 P			
EXHIBIT E STATEMENT OF THE BASIS OF OWNERSHIP	COMMONTO IS TO DE RESUCO (1 U.S.)	Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).		
1. NAME OF APPLICANT(SI	Z. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME		
Syngenta Seeds, Inc.	J604217	S18-N\$5		
		CORRECTED PER APPLICANT'S PERMISSION (ON 4/24/2002 BT)		
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZP Code, and Country)	5. TELEPHONE (include area code)	6. FAX finckide area codel		
510 N. 12th Ave. P.O. Box 949	319-653-2181	319-653-4609		
Washington, Iowa 52353	7. PVPO NUMBER 2002	00138		
8. Does the applicant own all rights to the variety? Mark an "X" in appropriate to	block. If no, please explain.			
		X YES NO		
	<u>.                                    </u>			
9. Is the applicant (individual or company) a U.S. national or U.S. based company If no, give name of country	?	X YES NO		
10. Is the applicant the original breeder? If no, please answer the following:		X YES NO		
<ul> <li>a. If original rights to variety were owned by individual(s):</li> <li>ls (are) the original breeder(s) a U.S. national(s)? If no, give name of c</li> </ul>	ountry			
		Tives Tuo		
<ul> <li>b. If original rights to variety were owned by a company:</li> <li>Is the original breeder(s) U.S. based company? If no, give name of coordinates</li> </ul>	in it.	YES NO		
is the diighial dieeder(s) 0.5. based company: 11 no, give hame of coc	and y			
11. Additional explantion on ownership (If needed, use reverse for extra space):				
PLEASE NOTE:				
Plant variety protection can be afforded only to owners (not licensees) who meet o	ne of the following criteria:			
1. If the rights to the variety are owned by the original breeder, that person must	be a U.S. national, national of a U	JPOV member country, or national		
of a country which affords similar protection to nationals of the U.S. for the san	ne genus and species.			
<ol> <li>If the rights to the variety are owned by the company which employed the originationals of a UPOV member country, or owned by nationals of a country which genus and species.</li> </ol>				
<ol> <li>If the applicant is an owner who is not the original breeder, both the original bre</li> </ol>	eder and the applicant must mee	tione of the above criteria.		
The original breeder may be the individual or company who directed final breedi				
definition.	my. See Section - Harter of the	g v <del>- i v -</del>		
Public reporting burden for this collection of information is assimated to everage 10 minutes per response, inch	uding the time for reviewing instructions, so	arching existing data sources, gathering and		

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